



The Scottish Parliament  
Pàrlamaid na h-Alba

**Standards, Procedures and Public Appointments Committee**

Jenny Marra MSP and Christina  
McKelvie MSP  
Via email

c/o Room TG.01  
EDINBURGH  
EH99 1SP

Tel (clerk): 0131 348 5239  
e-mail: [sppa.committee@scottish.parliament.uk](mailto:sppa.committee@scottish.parliament.uk)

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Dear Jenny and Christina

I am writing to inform you of the outcome of the Standards, Procedures and Public Appointments Committee's consideration of a complaint against the CPG on Human Trafficking.

As you will be aware, the complaint was made by the Association of Licensed Adult Entertainment Venues Scotland (ALAEVS).

The complaint alleged that you jointly made a "unilateral" decision to bar ALAEVS and the association's solicitor, Janet Hood, from remaining members of the CPG on Human Trafficking. The complaint argued that this should have been a decision for the CPG itself to take, under section 6.4 of volume 2 of the Code of Conduct. The complaint also contended that you gave an "inaccurate / misleading" reason for this decision, namely that you "initially stated ALAEVS were not registered members of the group, then that the group had made the decision to bar ALAEVS".

The Committee's role in considering complaints against cross-party groups is to ascertain whether the CPG has breached the Code of Conduct in relation to the matters raised in the complaint. I attach a copy of the complaints procedure at the annexe to this letter.

As you will appreciate it is not the role of the Committee to consider any additional matters raised in the complaint beyond those which relate to potential breaches of the Code.

After reviewing the issues raised in the complaint, the Committee took the view that two main aspects of the Code are relevant. I will address each of these in turn.

## Issue 1: Membership of the CPG

The first aspect of the Code which is relevant are the rules on recording whether an individual or organisation is a member of a CPG.

The Committee has considered the question of whether the CPG accurately recorded the membership status of the ALAEVS and its representatives, as required under the Code.

The following rules in the Code are relevant.

Rule 6.3.6 of the Code states—

“If there are any changes to the details set out in the registration form, the Convener of the Group (or a member of staff of the Convener who has been formally delegated authority) must notify the Standards clerks of the change within 30 calendar days.”

[The changes include new members who have joined the CPG.]

Rule 6.4.7 of the Code states—

“Within 30 calendar days of holding an AGM, the Convener of a Group must submit to the Standards clerks a completed Annual Return, detailing the Group’s activities over the previous 12 month period. The information that Groups are required to provide are as set out in the Annual Return.”

[The information required in the Annual Return includes a list of the members of the CPG.]

The Committee notes your position that neither ALAEVS nor its representatives became members of the CPG. However the position of ALAEVS is that representatives from ALAEVS became members of the CPG at a meeting on 20 August 2014.

The Committee has considered the formal documentation associated with the CPG and noted that—

- The Annual Return for the CPG (published after the meeting of 20 August 2014) does not list ALAEVS or its representatives as CPG members.
- The Standards Clerks did not receive any notification (as required under rule 6.3.6) that ALAEVS or its representatives had become members at the meeting on 20 August.
- Although a ALAEVS representative, Janet Hood, proposed Jenny Marra as Co-Convener of the CPG at the meeting on 20 August, the Code does not require her to be a member of the CPG in order to do this.

### *Committee conclusion on issue 1*

The Committee has considered whether any rules in the Code of Conduct have been breached in relation to this element of the complaint.

It seems to the Committee that whether there has been a breach of the Code essentially depends on whether ALAEVS or its representatives were members of the CPG. If they were members, the Code may have been breached since their membership status would not have been recorded correctly in the relevant CPG documentation.

The Committee has noted your position that they were not members. ALAEVS holds a different view.

The Committee has been required to weigh up these different positions, taking into account the overall balance of the evidence relating to the complaint. The Committee notes that the formal documentation associated with the CPG is consistent with the position that neither ALAEVS nor its representatives became members of CPG.

The Committee has concluded that ALAEVS and its representatives were not members of the CPG. As a result the Committee considers the membership status of ALAEVS was recorded correctly in the documents associated with the CPG.

For that reason, the Committee does not consider that either rule 6.3.6 or 6.4.7 in the Code of Conduct has been breached.

## **Issue 2: Decision to prevent ALAEVS attending CPG meetings**

Turning to the second element of the complaint, the Committee has considered whether the CPG complied with the Code when it asked ALAEVS representatives not to attend future meetings.

The rules which are relevant to this element of the complaint relate to participation at CPG meetings.

Rule 6.4.2 states—

“...the overall membership profile of the Group must be clearly Parliamentary in character. Beyond this requirement, any decisions about membership, including whether to limit the number of non-MSP members, is a matter for the Group itself.”

Rule 6.4.5 states that—

“Attendance and participation by non-MSPs who are not registered members of a CPG is at the discretion of the CPG”.

The Committee notes that a conversation took place between yourselves and ALAEVS about ALAEVS representatives not attending future CPG meetings.

The position of the complainer is that you acted ‘outwith your powers’ by not putting the decision to exclude ALAEVS representatives to the entire CPG.

Your position is that you had been approached by members of the CPG expressing concerns about representatives of the ALAEVS being in attendance at future CPG meetings.

### *Committee conclusion on issue 2*

As discussed above, the Committee's view is that ALAEVS and its representatives were not members of the CPG. It follows therefore (under rule 6.4.5), that their participation at future meetings is at the discretion of the CPG.

The Code does not prescribe how "the discretion of the CPG" will be exercised. There is no set procedure which must be followed in order to stop a non-member attending a meeting. This is consistent with the flexible approach taken in the Code which leaves CPGs largely responsible for managing their own meetings.

Given this position, the Committee does not consider that any rules in the Code were breached when you asked ALAEVS representatives not to attend future meetings of the CPG.

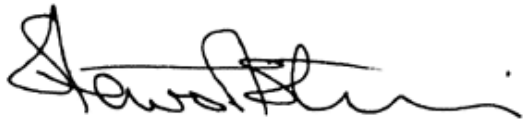
### **Conclusion**

The Committee has considered carefully the matters raised in the complaint and has concluded that the CPG on Human Trafficking has not breached the Code of Conduct.

The Committee's consideration of the complaint has now been concluded.

In the interests of transparency, a copy of this letter and my response to the complainer will be posted on the section of the SPPA Committee's website which contains information about cross-party groups.

Yours sincerely

A handwritten signature in black ink, appearing to read 'Stewart Stevenson', followed by a period.

**Stewart Stevenson MSP**

**Convener**

**Standards, Procedures and Public Appointments Committee**

## Complaints process for CPGs

This guidance sets out the approach that the SPPA Committee will take to any complaints received about Cross Party Groups.

The process is intended to allow the SPPA Committee to respond fairly and proportionately to any complaints received. It therefore provides for a more informal approach to investigating minor complaints whilst allowing the Committee to undertake formal consideration where necessary, including imposing sanctions.

Complaints about the use of parliamentary resources by CPGs will be considered by the SPCB and are not covered by this process. The process outlined in this guidance only applies to complaints which do not relate to parliamentary resources but to other rules in Section 6 of Volume 2.

The Committee has delegated steps 1 to 4 to the Convener. These responsibilities can also be delegated to the Deputy Convener if required.

## Complaints process

- **Step 1** – the Convener establishes whether the complaint falls within the responsibilities of the SPPA Committee and whether it meets the admissibility criteria set out in Section 9.1 of volume 3. Where a complaint is not admissible, the Convener will dismiss it at this stage.
- **Step 2** – where a complaint is admissible, the Convener writes to the complainer confirming this and advising how it will be investigated. If necessary further information is requested from the complainer at this point. The complainer will be advised that the information they provide, including their name, may be published if the Committee decides the complaint requires a formal report to Parliament. The complainer will also be advised that the complaint should remain confidential while it is being investigated.
- **Step 3** – where necessary the Convener writes to the Convener of the CPG being complained about inviting them to respond and provide relevant evidence. The CPG Convener would also be informed that such correspondence could become public and that the complaint should remain confidential. The CPG Convener may involve the secretary to the group and other office-holders in preparing a response. If the complaint relates to the behaviour of an individual MSP in the group then that individual may be invited to provide a separate response to the complaint.
- **Step 4** – once the Convener is content that sufficient information is available, the Convener reports to the Committee.
- **Step 5** – the Committee considers the Convener's report on the complaint and agrees how to deal with it. Available options include—
  - a) *Dismiss the complaint* if there has been no breach of the Code;
  - b) *Find that there has been a breach of the Code but that the breach is not sufficiently serious to impose sanctions.* The Group may be advised

of any steps they must take to comply with the Code. The Committee may (but will not necessarily) publish the complaint letter, correspondence and the Committee's conclusions;

- c) *Remove recognition from the Group.* Any decision about removing recognition will be made at an SPPA Committee meeting, announced publicly and set out in a Committee report. When considering this option, the Committee will first give the CPG an opportunity to make representations either orally or in writing.
- d) *Find that there has been a breach of the Code which warrants sanctions being imposed on an individual MSP.* If the Committee wishes to recommend imposing sanctions on the Convener or another group member, the Committee will make this decision at an SPPA Committee meeting, announce it publicly and publish a report recommending to Parliament that sanctions be imposed. This would be followed by a Parliamentary debate and vote on the proposed sanctions. If sanctions are being considered, the MSP will first be given an opportunity to make representations either orally or in writing.
- e) *Find that the matter should be referred to the Commissioner for further investigation.* If, exceptionally, the Committee considers that the facts of the matter require further investigation, the Standards Commissioner may be directed to investigate the complaint and report to the Committee.